Working Group 4: The Role of Peers in Asia and Europe

Do’s and Don’ts in Peer Reviews. The Viewpoint of an Auditor in Asia

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Towards Harmonization of Higher Education in Southeast Asia

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Thailand’s Practice

QA System: the Connection between the Internal and External Quality Assurance

Diagram showing the flow between Internal Quality Assurance and External Quality Assurance, including Institution's Performance, Internal Assessment, Annual Report, Visits, Report on the result of assessment, and Follow-up.
Alignment of IQA & EQA KPIs

IQA KPIs
- Input
- Process

EQA KPIs
- Outputs
- Outcomes

SAR &
Common Data Set
CHE-QA on-line

- Philosophy, mission, objectives and implementation plan
- Teaching/Learning Provision
- Student Development Activities
- Research
- Financial and Budgeting
- Academic Service to Community
- QA System and Mechanism
- Administration and Management
- Preservation of Arts and Cultures
- Student Development Activities
- Teaching/Learning Provision
- Philosophy, mission, objectives and implementation plan

IQA
IQA Assessing Infrastructure

Institutions need to develop effective planning on Quality Assessing System before the end of academic year so that:

1) Assessment results and recommendations will be learned and used for the improvement in the next semester and budget preparation by October (in the case of governmental institutions)

2) Annual report on the IQA can be produced and submitted to the Office of Higher Education Commission and to be disseminated to the public within 120 days from the last day of the first semester
Thai Higher Education: Peer Review
The National Education Act, 1999

Chapter 6 on “Educational Standards and Quality Assurance”

• The System shall be comprised of both internal and external quality assurance
• The office for National Educational Standards and QA (ONESQA) is the responsible organization for EQA
• HEIs shall arrange for their personnel, institutions’ councils, including parents and those associated with the HEIs to provide additional info. considered relevant to their functioning, on the request of ONESQA or of the person or external agencies certified by it.
## ONESQA: About

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<tr>
<th>Country / QA Authority</th>
<th>Background</th>
<th>Quality Assurance Framework</th>
<th>NQF</th>
<th>Collaboration</th>
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<tr>
<td>Thailand Office for National Education Standards and Assessments (ONESQA)</td>
<td>ONESQA established as a public organisation in 2000 With responsibility to conduct EQA on educational institutions of all types and levels within a cycle of 5 years</td>
<td>Quality Assurance: Institutional and Programme Assessment and Audit. Compulsory Emphasis on strengthening EQA process including through the use of standards</td>
<td>National Qualifications Framework for Higher Education, in line with MUA (now OHEC) QA policy and guiding direction</td>
<td>• ASEAN Quality Assurance Network • ONESQA Network</td>
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</table>

Source: A Study on Quality Assurance Models in use in Southeast Asian Countries: Towards a Southeast Asian Quality Assurance Framework, SEAMEO RIHED
KPIs of the EQA

Dimensions

*Basic Indicators*...reflect the core functions and responsibilities of the HEIs (15 KPIs)

*Identity/uniqueness*...reflects the realization of HEI’s philosophy and mission (2 KPIs)

*University Social Responsibility*...HEI’s engagement with community/societal development (1 KPI)
<table>
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<th>Planning Stage</th>
<th>Visit Stage</th>
<th>Post Visit</th>
<th>Conclusion</th>
<th>Implication</th>
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<td>ONESQA reviews data set and develops criteria. HEI provides Self-Assessment Report.</td>
<td>3-5 days Evaluation through sampling, document inspection, discussion and inspection of HEI Report orally presented to HEI CEO.</td>
<td>30 days Full report prepared and submitted to HEI for correction or appeal. Final report presented to Government, Minister Education, Budget Bureau and HEI.</td>
<td>Annual Quality and Standards Assessment Report submitted to Government.</td>
<td>When HEI gets conditional pass or failure to meet standards, improvement plan within a specific period of time mutually agreed between HEI and ONESQA. ONESQA recommends improvements to the Ministry in charge of the HEI.</td>
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Source: A Study on Quality Assurance Models in use in Southeast Asian Countries: Towards a Southeast Asian Quality Assurance Framework, SEAMEO RIHED
Thai Higher Education: Peer Review

Honorary Assessors/Distinguished academic or professional with proven experience in the academic, especially in the field of Higher Education

TEAM OF ASSESSORS

Assessors who is an expert in their own field and be knowledgeable about the academic and professional field of the candidate
Characteristics of the Assessors

- Honesty
- Fairness
- Discipline
- Diligence
- Patience
- Amicable
Do’s

Pre-Site Visit

>> Clear understanding of the KPIs for HEIs

Indepth reading of SAR

>> Examination of statistics and relating information

>> Preparation meeting of assessment team (Chair)
Do’s

**During Site Visit**

- Fine-tuning information in question
- Interact with good manner and respect
- Communicate clearly and accurately
- Conduct the exercise with sincerity
- Committed to the task of assessment
- Perform function bearing in mind the benefits of students and institution as well as responsibility to the society
Do’s

Post Site Visit

>>Meetings of the assessment team
>>Report writing assignment and review
>>Treat all information in confidentiality
>>Report the result with accuracy
>>Assessment of the assessors
Don’ts

--Do not release any information on HEI to outsider
--Do not bring outsider into the assessment process
--Do not get aggressive with those interviewed
--Do not jump to conclusion during site visit
--Do not ask HEI for anything not relating to the assessment
--Do not receive gift of any sort from HEI
--Do not participate or engage in business with conflict of interest to the assessment
--Do not act in the manner that will disgrace or discredit the reputation and credibility of the assessors
Thank you very much for your kind attention!